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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Amelia Groth

Attorney Docket No. 402E-918102US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Chen, Mary, et al.

Assignee: Genetech, Inc.

Application No.: 09/661,161
Filed: September 13, 2000

For: IMPROVING POLYPEPTIDE
PRODUCTION IN ANIMAL CELL
CULTURE

Examiner: Afremova, Vera

Art Unit: 1651

APPOINTMENT OF ASSOCIATE
ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby appoint the attorneys and agents at Customer Number 22798 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. The attorneys and agents at Customer Number 22798 include:

Jonathan Alan Quine, Ph.D., Reg. No. 41,261; Stacy Landry, Ph.D., Reg. No. 42,779; Christopher C. Sappenfield, Reg. No. 45,073; Gwynedd Warren, Reg. No. 45,200; Angela P. Horne, Reg. No. 41,079; Stephen J. LeBlanc, Reg. No. 36,579; Tom, Hunter, Reg. No. 38,498; Emily M. Haliday, Reg. No. 38,903; Paul Littlepage, Reg. No. 48,581; Irene Pleasure, Reg. No. 45,506; Gary Baker, Reg. No. 41,595 and Doretha Robinson, Reg. No. 45,048.

Please direct all future correspondence regarding the subject application to CUSTOMER NUMBER 22798, that is:

Chen, Mary, et al.
Application No.: 09/661,161
Page 2

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871/Fax (510) 337-7877

I am the attorney for the Applicant listed on the Power of Attorney originally filed for this application on September 13, 2000.

Genetech, Inc.

Date: May 7, 2002

By: Janet E Hasak
Name:
Title:

Genetech, Inc.
1 DNA Way
South San Francisco, CA 94080
Telephone



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Chen, Mary, et al.

Application No.: 09/661,161

Filed: September 13, 2000

Entitled: IMPROVING POLYPEPTIDE PRODUCTION IN ANIMAL CELL CULTURE

Genentech, Inc.

, a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

May 22, 2002

Date



Signature

Timothy R. Schwartz

Typed or printed name

Section Patent Counsel

Title

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✓EH



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

887

DATE: 07/20/94
TO:

WENDY M. LEE
GENENTECH, INC.
460 POINT SAN BRUNO BOULEVARD
SOUTH SAN FRANCISCO, CA 94080

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AUG 10 1994

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

GENENTECH, INC. LEGAL DEPT.

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: CHEN, MARY DOC DATE: 05/10/94

ASSIGNOR: FORMAN, LAWRENCE W. DOC DATE: 05/16/94

RECORDATION DATE: 06/13/94 NUMBER OF PAGES 004 REEL/FRAME 7029/0920

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:
GENENTECH, INC.
460 POINT SAN BRUNO BOULEVARD
SO. SAN FRANCISCO, CA 94080

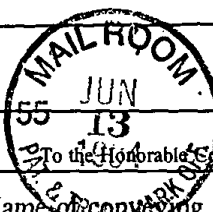
SERIAL NUMBER 8-208888 FILING DATE 03/10/94
PATENT NUMBER ISSUE DATE 00/00/00

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P. Foster
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION



RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Any Dkt No: 887

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mary Chen and Lawrence W. Forman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name and address of receiving party(ies).

Name: GENENTECH, INC.

Internal Address:

Street Address: 460 Point San Bruno Boulevard

City: So. San Francisco State: CA ZIP: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance: 19

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other:

Execution Date: 5/10/94 and 5/16/94

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

08/208,888

Additional numbers attached? ☐ Yes ☒ No

JUN 24 2002

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5. Name and address of party to whom correspondence concerning document should be mailed:

Wendy M. Lee
GENENTECH, INC.
460 Point San Bruno Boulevard
South San Francisco, CA 94080

6. Total number of applications and patents involved: 1 (one)

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0630

(Attach duplicate copy of this page if paying by deposit account)

P 30521 06/27/94 08208888

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wendy M. Lee

Name of Person Signing

Registration No. *

Wendy M. Lee
Signature

June 8, 1994

Date

* A copy of a document pursuant to 37 C.F.R. § 10.9(b) is attached as proof of the authorization of the undersigned to prosecute the above-mentioned application. The original of this document is on file in the Office of Enrollment and Discipline.

Total number of pages comprising cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

1114

A S S I G N M E N T

WHEREAS, Mary Chen, a citizen of U.S.A., residing at 809 Bayswater Avenue, Burlingame, California 94010 and Lawrence W. Forman, a citizen of U.S.A., residing at 1160 Snowberry Court, Sunnyvale, California 94087, have invented a new and useful invention in

IMPROVING POLYPEPTIDE PRODUCTION IN ANIMAL CELL CULTURE

for which an application Serial No. 08/208,888 (Docket No. 887) for Letters Patent has been filed by them on 10 March 1994; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 460 Point San Bruno Boulevard, South San Francisco, California 94080, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said Mary Chen and Lawrence W. Forman have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said Mary Chen and Lawrence W. Forman covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Mary Chen and Lawrence W. Forman includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Mary Chen and Lawrence W. Forman in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said Mary Chen and Lawrence W. Forman, their respective heirs, legal representatives and assigns.

Said Mary Chen and Lawrence W. Forman hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

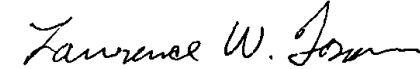
IN WITNESS WHEREOF we undersign as follows;

Palo Alto


Mary Chen

Dated: 5-10-94

South San Francisco


Lawrence W. Forman

Dated: 5-16-94

REEL 1029 FRAME 922



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Wendy M. Lee is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Genentech, Inc. to prepare and prosecute patent applications and to represent patent applicants wherein Genentech, Inc. is the assignee of record of the entire interest. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to December 9, 1994: (i) Wendy M. Lee ceases to lawfully reside in the United States, (ii) Wendy M. Lee's employment with Genentech, Inc. ceases or is terminated, or (iii) Wendy M. Lee ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 9, 1994

Cameron Weiffenbach, Director
Office of Enrollment and Discipline

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(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/661,161

Filing Date

September 13, 2000

First Named Inventor

Chen, Mary

Group Art Unit

1651

Examiner Name

Afremova, Vera

Attorney Docket Number

402E-918102US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement Under 37 CFR 3.73(b); Copy of Assignment; receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Emily Haliday, Reg. No. 38,903 Quine Intellectual Property Law Group P.C.		
Signature			
Date	June 11, 2002		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 11, 2002

Typed or printed name	Amelia Groth		
Signature		Date	6 11 02

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